

# QCD and Nuclei

From the Coulomb Sum Rule to the EMC effect

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Argonne National Laboratory

New Directions in Subatomic Physics

*Workshop to celebrate the 60th birthday of Tony Williams*

The University of Adelaide, Adelaide, Australia

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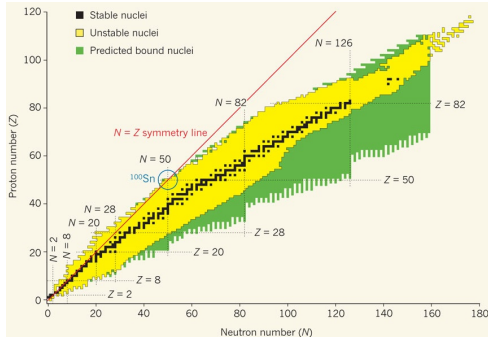
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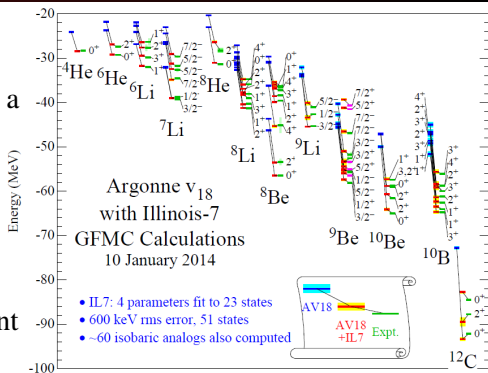
# Why are Nuclei Interesting?

- Nuclei encapsulate and accentuate much of Standard Model physics
  - QED has a dramatic effect on, e.g. the valley of stability as the number of protons increase
  - weak interactions have a dramatic effect on e.g. the stability of nuclei
  - proton decay occurs inside nuclei:

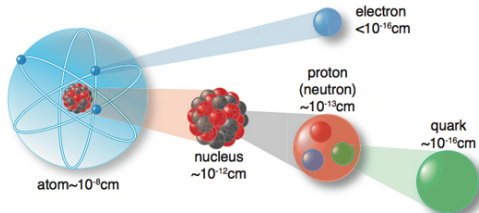


- However, the properties of nuclei are dominated by the strong interaction
  - understanding the properties of nuclei within QCD remains one of the most important challenges in fundamental science
  - *too understand QCD it is not sufficient to study hadrons alone*
- Nuclei are used in many searches for physics beyond the Standard Model
  - electric dipole moment in mercury, radium, etc
  - neutrinoless double  $\beta$  decay
- BSM searches can be hindered by a lack of understanding of QCD & nuclei

- In traditional nuclear physics the nucleus is viewed as a collection of *elementary* nucleons interacting via a phenomenological potential
  - this picture began with the discovery of the neutron in 1932
  - on firm ground with establishment of the nuclear shell model in 1940s
- Interim has seen steady improvement
  - largely independent of QCD
- State-of-the-art today are sophisticated *non-relativistic* quantum-many-body approaches – VMC and GFMC – using e.g. the Argonne  $V_{18}$  potential
  - $V_{18}$  + IL-7 potential has 44 parameters fit to  $NN$  scattering data, . . .
  - remarkably successful at describing numerous properties of light nuclei
- *Key assumption of ab initio approaches is that the nucleons which comprise a nucleus have the same internal structural properties as free nucleons*

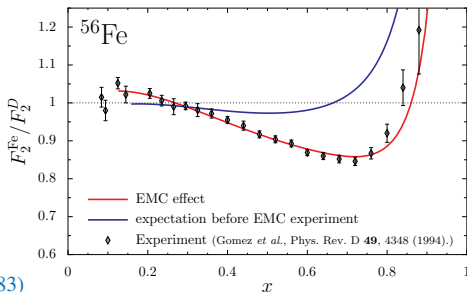


- Nuclei give access to numerous novel aspects of QCD:
  - neutron target, targets with  $J \geq 1$
  - colour transparency, hidden colour
  - hypernuclei, gluon saturation, etc



- Important question: *How do the internal structural properties of protons and neutrons change when they form complex nuclei and what is the cause?*
- In quark level approaches *self-consistent coupling to nuclear mean-fields* naturally results in *medium modification of all nucleons in a nucleus*
  - for example, the dressed quark mass function changes with temperature and baryon chemical potential
  - very difficult to avoid medium modification in these approaches
- Unambiguous evidence for quark & gluon effects in nuclei remains elusive
  - important candidates are the EMC effect
  - Quasi-elastic scattering, the Coulomb Sum Rule & proton knockout reactions

- In the early 80s physicists at CERN thought that nucleon structure studies using DIS could be enhanced (by a factor  $A$ ) using nuclear targets
- The European Muon Collaboration (EMC) conducted DIS experiments on an iron target
- J. J. Aubert *et al.*, Phys. Lett. B **123**, 275 (1983)



*“The results are in complete disagreement with the calculations ... We are not aware of any published detailed prediction presently available which can explain behavior of these data.”*

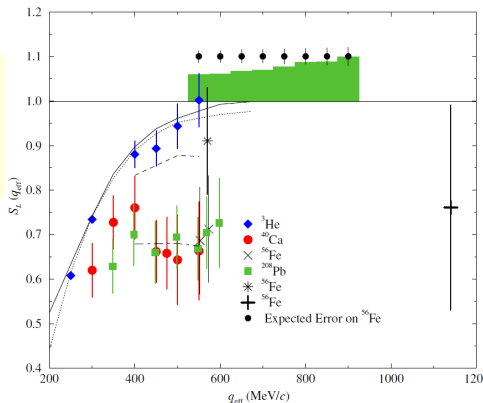
- Measurement of the *EMC effect* created a new paradigm regarding QCD and nuclear structure
  - more than 30 years after discovery a broad consensus on explanation is lacking
  - what is certain: *valence quarks in nucleus carry less momentum than in a nucleon*
- One of the most important nuclear structure discoveries since advent of QCD
  - understanding its origin is critical for a QCD based description of nuclei

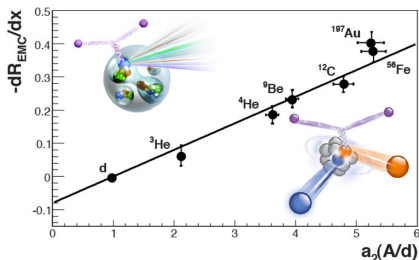
- The “Coulomb Sum Rule” reads

$$S_L(|\mathbf{q}|) = \int_{\omega^+}^{|\mathbf{q}|} d\omega \frac{R_L(\omega, |\mathbf{q}|)}{\tilde{G}_E^2(Q^2)}$$

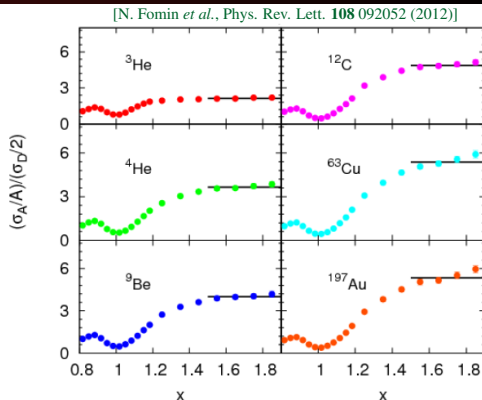
$$\tilde{G}_E^2 = Z G_{Ep}^2(Q^2) + N G_{En}^2(Q^2)$$

- Non-relativistic expectation – as  $|\mathbf{q}|$  becomes large –  $S_L(|\mathbf{q}| \gg p_F) \rightarrow 1$ 
  - CSR counts number of charge carriers
- The CSR was first measured at MIT Bates in 1980 then at Saclay in 1984
  - both experiments observed significant *quenching* of the CSR
- Two plausible explanations: 1) *nucleon structure is modified in the nuclear medium*; 2) *experiment/analysis is flawed e.g. Coulomb corrections*
- A number of influential physicists have argued very strongly for the latter



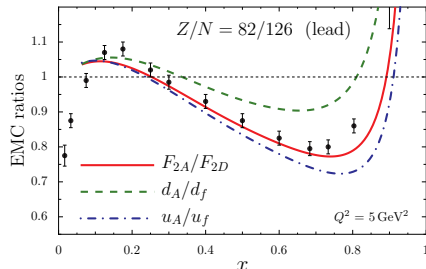
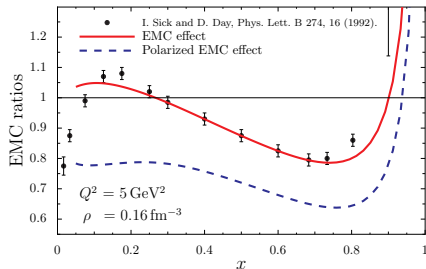


- Plateaus associated with nucleons with  $p \gtrsim 270$  MeV:  
 $\Rightarrow$  short-range correlations



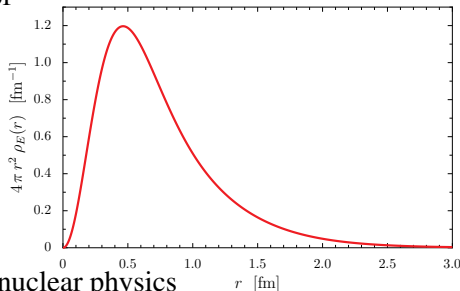
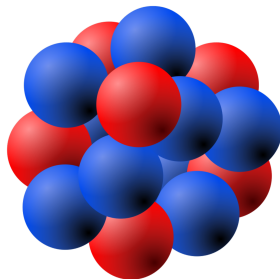
- Empirical correlation between slope of EMC effect and quasi-elastic scattering plateaus has resulted in a renaissance of the EMC effect*
- Many convinced SRC  $\Rightarrow$  EMC effect: [Klaus Rith arXiv:1402.5000 [hep-ex]]  
*"It is rather unlikely that this correlation is purely accidental and one can therefore rather safely assume that a large fraction of the strength of the EMC effect in the valence quark region is due to short-range nucleon-nucleon correlations"*

- The puzzle posed by the EMC effect will only be solved by conducting new experiments that expose novel aspects of the EMC effect
- Measurements should help distinguish between explanations of EMC effect e.g. whether *all nucleons* are modified by the medium or only those in SRCs
- Important examples are measurements of the *EMC effect in polarized structure functions* & the *flavour dependence of EMC effect*
- A JLab experiment has been approved to measure the spin structure of  ${}^7\text{Li}$
- Flavour dependence will be accessed via JLab DIS experiments on  ${}^{40}\text{Ca}$  &  ${}^{48}\text{Ca}$  – but parity violating DIS stands to play the pivotal role

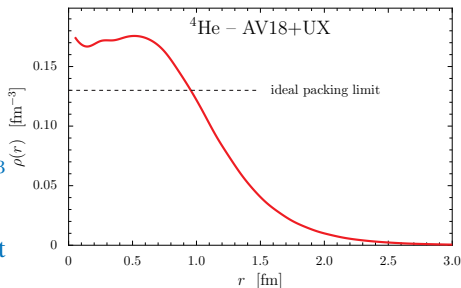




- Nuclei are extremely dense:
  - proton rms radius is  $r_p \simeq 0.85$  fm, corresponds hard sphere  $r_p \simeq 1.10$  fm
  - ideal packing gives  $\rho \simeq 0.13$  fm<sup>-3</sup>; nuclear matter density is  $\rho \simeq 0.16$  fm<sup>-3</sup>
  - 20% of nucleon volume inside other nucleons – nucleon centers  $\sim 2$  fm apart
- For realistic charge distribution 22% of proton charge at distances  $r > 1$  fm
- *Natural to expect that nucleon properties are modified by nuclear medium – even at the mean-field level*
  - in contrast to traditional nuclear physics
- Understanding validity of two viewpoints remains key challenge for nuclear physics
  - *a new paradigm or deep insights into colour confinement in QCD*



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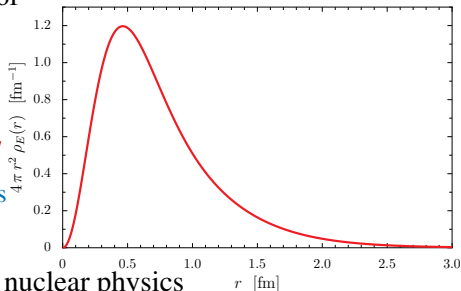


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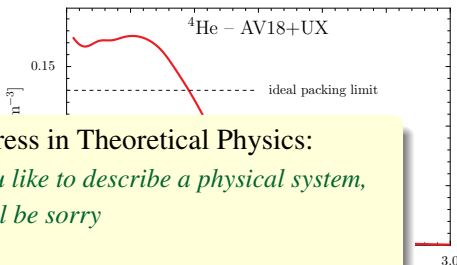
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corresponds hard sphere  $r_p \simeq 1.10$  fm



Weinberg's Third Law of Progress in Theoretical Physics:

*you may use any degrees of freedom you like to describe a physical system,  
but if you choose the wrong ones, you'll be sorry*

First Law:

*Conservation of Information: You'll get nowhere by churning equations*

Second Law:

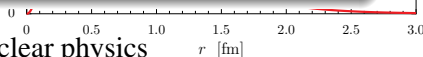
*Do not trust arguments based on the lowest order of perturbation theory*

“Why the Renormalization Group is a Good Idea” Steven Weinberg

● Understanding validity of two

viewpoints remains key challenge for nuclear physics

– *a new paradigm or deep insights into colour confinement in QCD*



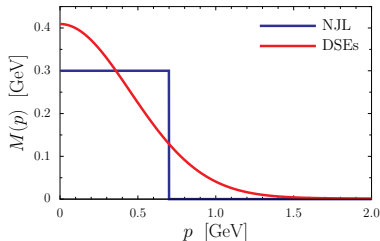
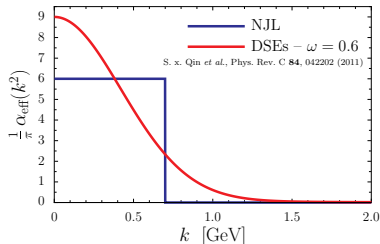
## Continuum QCD

“integrate out gluons”

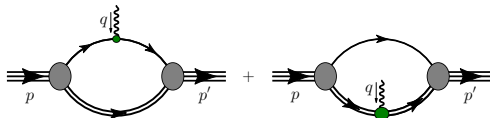
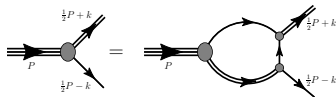


$$\frac{1}{m_g^2} \Theta(\Lambda^2 - k^2)$$

- this is just a modern interpretation of the Nambu–Jona-Lasinio (NJL) model
- model is a Lagrangian based covariant QFT, exhibits dynamical chiral symmetry breaking & quark confinement; elements can be QCD motivated via the DSEs
- Quark confinement is implemented via proper-time regularization
  - quark propagator:  $[\not{p} - m + i\varepsilon]^{-1} \rightarrow Z(p^2)[\not{p} - M + i\varepsilon]^{-1}$
  - wave function renormalization vanishes at quark mass-shell:  $Z(p^2 = M^2) = 0$
  - confinement is critical for our description of nuclei and nuclear matter

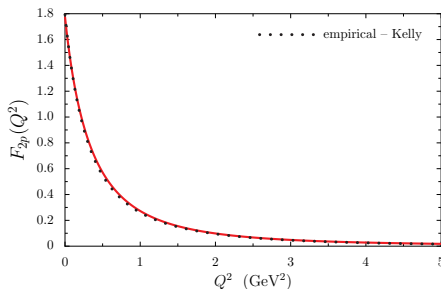
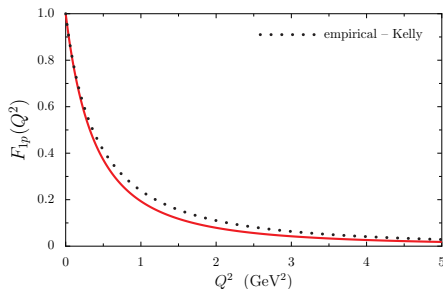


- Nucleon = quark+diquark
- Form factors given by Feynman diagrams:

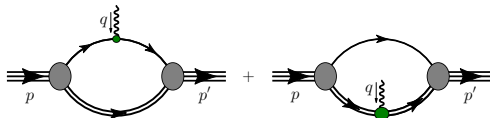
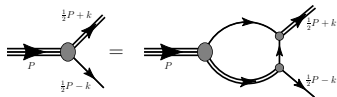


- Calculation satisfies electromagnetic gauge invariance; includes
  - dressed quark–photon vertex with  $\rho$  and  $\omega$  contributions
  - contributions from a pion cloud

[ICC, W. Bentz and A. W. Thomas, Phys. Rev. C **90**, 045202 (2014)]

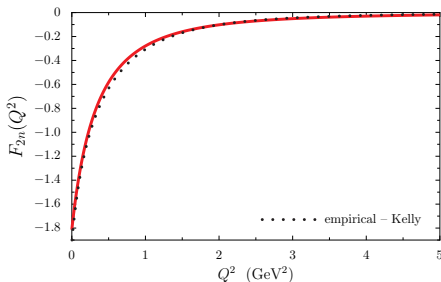
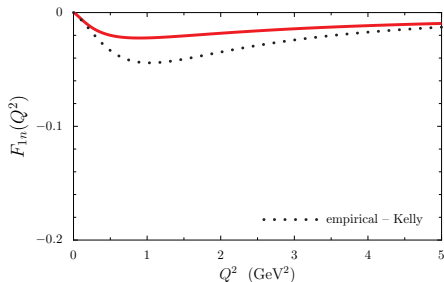


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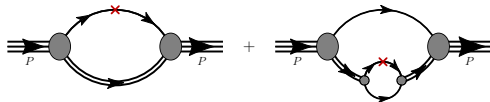
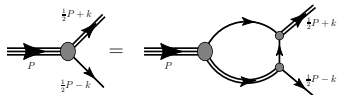


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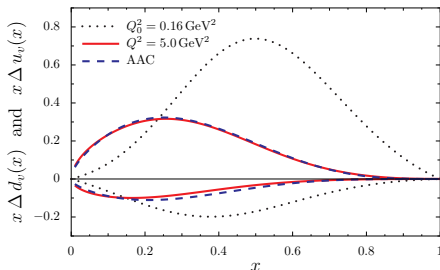
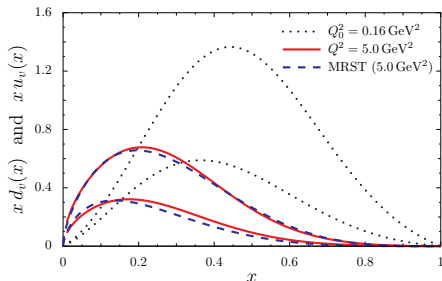


- Nucleon = quark+diquark
- PDFs given by Feynman diagrams:  $\langle \gamma^+ \rangle$



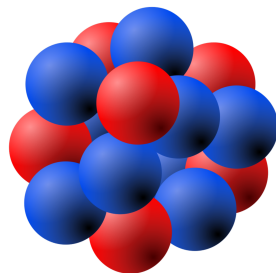
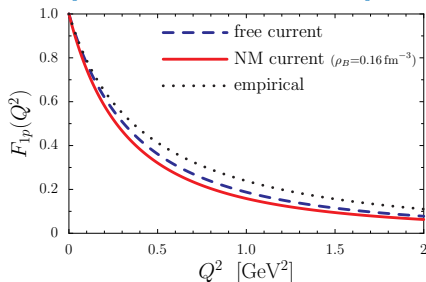
- Covariant, correct support; satisfies sum rules, Soffer bound & positivity

$$\langle q(x) - \bar{q}(x) \rangle = N_q, \quad \langle x u(x) + x d(x) + \dots \rangle = 1, \quad |\Delta q(x)|, |\Delta_T q(x)| \leq q(x)$$



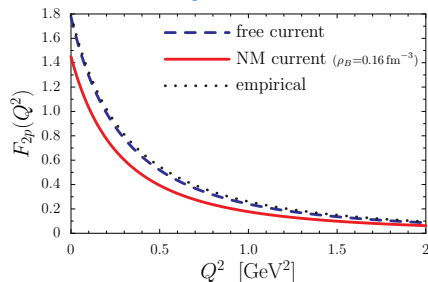
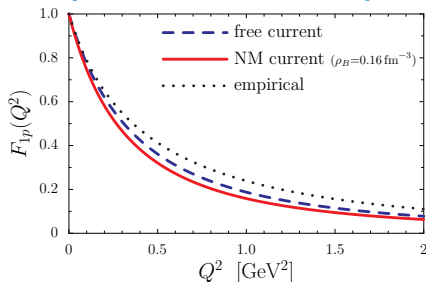
[ICC, W. Bentz and A. W. Thomas, Phys. Lett. B **621**, 246 (2005)]

- For nuclei, we find that quarks bind together into colour singlet nucleons
  - however contrary to traditional nuclear physics approaches these quarks feel the presence of the nuclear environment
  - *as a consequence bound nucleons are modified by the nuclear medium*
- Modification of the bound nucleon wave function by the nuclear medium is a *natural consequence* of quark level approaches to nuclear structure
- For a proton in nuclear matter find
  - Dirac & charge radii each increase by about 8%; Pauli & magnetic radii by 4%
  - $F_{2p}(0)$  decreases; however  $F_{2p}/2M_N$  almost constant –  $\mu_p$  almost constant

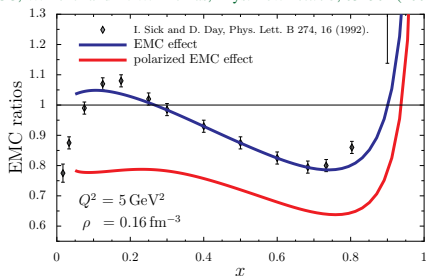




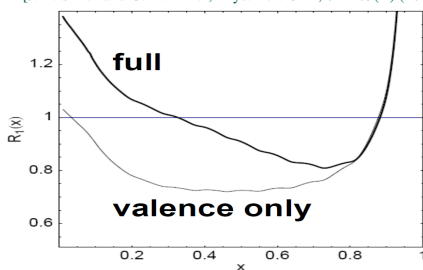
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[ICC, W. Bentz and A. W. Thomas, Phys. Rev. Lett. **95**, 052302 (2005)]



[J. R. Smith and G. A. Miller, Phys. Rev. C **72**, 022203(R) (2005)]

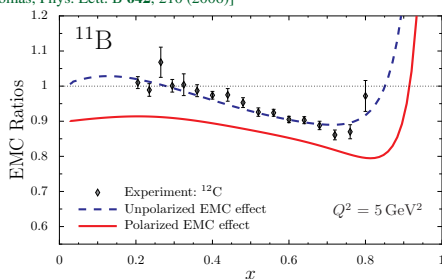
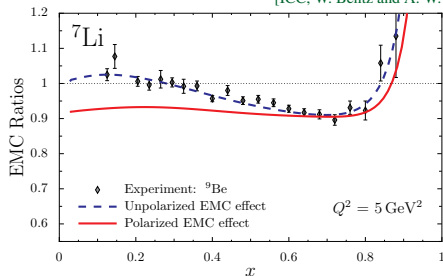


- Definition of polarized EMC effect:
  - ratio equals unity if no medium effects

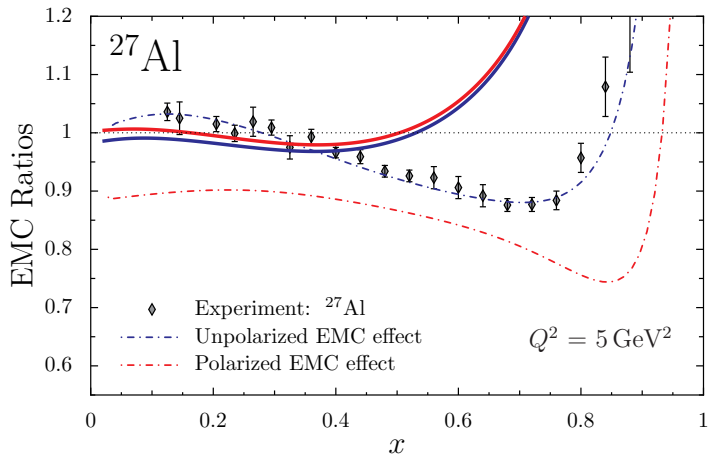
$$\Delta R = \frac{g_{1A}}{g_{1A}^{\text{naive}}} = \frac{g_{1A}}{P_p g_{1p} + P_n g_{1n}}$$

- Large polarized EMC effect results because in-medium quarks are more relativistic ( $M^* < M$ )
  - lower components of quark wave functions are enhanced and these usually have larger orbital angular momentum
  - *in-medium we find that quark spin is converted to orbital angular momentum*
- A large polarized EMC effect would be difficult to accommodate within traditional nuclear physics and numerous other explanations of the EMC

[ICC, W. Bentz and A. W. Thomas, Phys. Lett. B **642**, 210 (2006)]

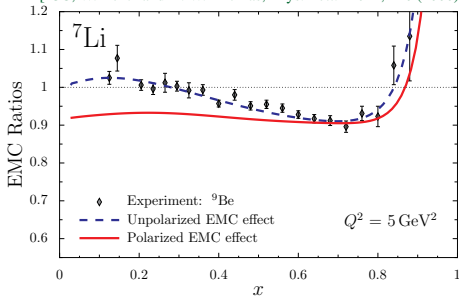


- Spin-dependent cross-section is suppressed by  $1/A$ 
  - should choose light nucleus with spin carried by proton e.g.  $\Rightarrow ^7\text{Li}, ^{11}\text{B}, \dots$
- Effect in  $^7\text{Li}$  is slightly suppressed because it is a light nucleus and proton does not carry all the spin (simple WF:  $P_p = 13/15$  &  $P_n = 2/15$ )
- Experiment now approved at JLab [E12-14-001] to measure spin structure functions of  $^7\text{Li}$  (GFMC:  $P_p = 0.86$  &  $P_n = 0.04$ )
- *Everyone with their favourite explanation for the EMC effect should make a prediction for the polarized EMC effect in  $^7\text{Li}$*

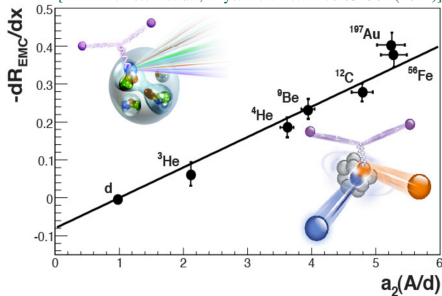


- Without medium modification both EMC & polarized EMC effects disappear
- Polarized EMC effect is smaller than the EMC effect – this is natural within standard nuclear theory and also from SRC perspective
- Large splitting very difficult without *mean-field* medium modification

[ICC, W. Bentz and A. W. Thomas, Phys. Lett. B **642**, 210 (2006)]



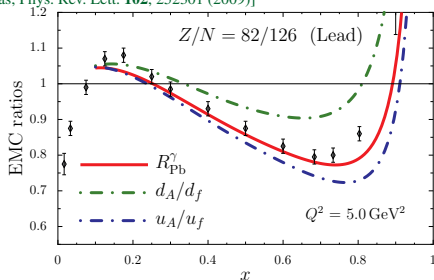
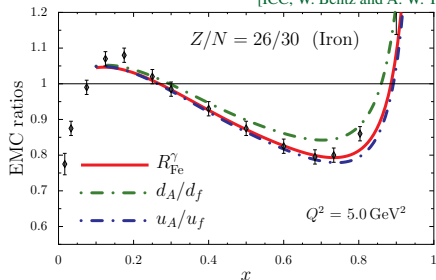
[L. B. Weinstein *et al.*, Phys. Rev. Lett. **106** 052301 (2011)]



- Explanations of EMC effect using SRCs also invoke medium modification
  - since about 20% of nucleons are involved in SRCs, need medium modifications about 5 times larger than in mean-field models
- For polarized EMC effect only 2–3% of nucleons are involved in SRCs
  - it would therefore be natural for SRCs to produce a smaller polarized EMC effect
- Observation of a large polarized EMC effect would imply that SRCs are less likely to be the mechanism responsible for the EMC effect

# Flavour dependence of EMC effect

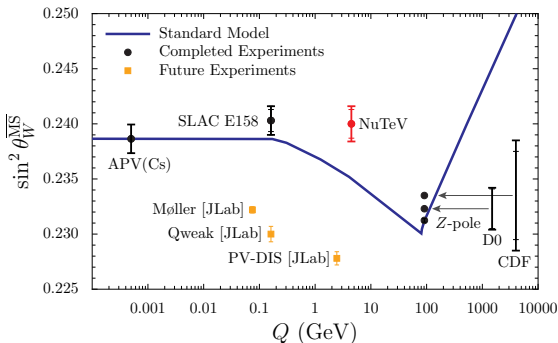
[ICC, W. Bentz and A. W. Thomas, Phys. Rev. Lett. **102**, 252301 (2009)]



- Find that EMC effect is basically a result of binding at the quark level
  - for  $N > Z$  nuclei,  $d$ -quarks feel more repulsion than  $u$ -quarks:  $V_d > V_u$
  - therefore  $u$  quarks are more bound than  $d$  quarks
- Find isovector mean-field shifts momentum *from*  $u$ -quarks *to*  $d$ -quarks

$$q(x) = \frac{p^+}{p^+ - V^+} q_0 \left( \frac{p^+}{p^+ - V^+} x - \frac{V_q^+}{p^+ - V^+} \right)$$

- SRCs shift momentum from  $n$  to  $p$  – *therefore opposite to mean-field* – but since SRCs are isoscalar cannot give an isovector EMC effect



## Fermilab 2001 press release:

“The predicted value was 0.2227. The value we found was 0.2277, a difference of 0.0050. It might not sound like much, but the room full of physicists fell silent when we first revealed the result”

“99.75% probability that the neutrinos are not behaving like other particles . . . only 1 in 400 chance that our measurement is consistent with prediction”

● NuTeV:  $\sin^2 \theta_W = 0.2277 \pm 0.0013(\text{stat}) \pm 0.0009(\text{syst})$

[G. P. Zeller *et al.* Phys. Rev. Lett. **88**, 091802 (2002)]

● Standard Model:  $\sin^2 \theta_W = 0.2227 \pm 0.0004 \Leftrightarrow 3\sigma \Rightarrow$  “NuTeV anomaly”

● Huge amount of experimental & theoretical interest [600+ citations]

● Evidence for physics beyond the Standard Model?

● No universally accepted *complete* explanation

- Paschos-Wolfenstein ratio motivated the NuTeV study:

$$R_{PW} = \frac{\sigma_{NC}^{\nu A} - \sigma_{NC}^{\bar{\nu} A}}{\sigma_{CC}^{\nu A} - \sigma_{CC}^{\bar{\nu} A}} = \frac{\left(\frac{1}{6} - \frac{4}{9} \sin^2 \theta_W\right) \langle x_A u_A^- \rangle + \left(\frac{1}{6} - \frac{2}{9} \sin^2 \theta_W\right) \langle x_A d_A^- + x_A s_A^- \rangle}{\langle x_A d_A^- + x_A s_A^- \rangle - \frac{1}{3} \langle x_A u_A^- \rangle}$$

- $\langle x_A q_A^- \rangle$  fraction of target momentum carried by valence quarks of flavor  $q$
- For an isoscalar target  $u_A \simeq d_A$  and if  $s_A \ll u_A + d_A$

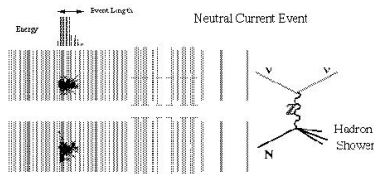
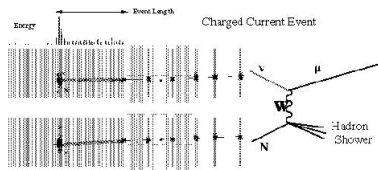
$$R_{PW} = \frac{1}{2} - \sin^2 \theta_W + \Delta R_{PW}; \quad \Delta R_{PW} = \left(1 - \frac{7}{3} \sin^2 \theta_W\right) \frac{\langle x_A u_A^- - x_A d_A^- - x_A s_A^- \rangle}{\langle x_A u_A^- + x_A d_A^- \rangle}$$

- $\Delta R_{PW}$  well constrained  $\implies$  excellent way to measure weak mixing angle
- NuTeV “result” for  $R_{PW}$  is smaller than Standard Model value
- Studies suggest that largest contributions to  $\Delta R_{PW}$  maybe:
  - strange quarks
  - charge symmetry violation (CSV)  $\implies u_p \neq d_n, d_p \neq u_n$
  - nuclear effects
- NuTeV target was 690 tons of steel  $\stackrel{?}{\implies}$  non-trivial nuclear corrections



- Paschos-Wolfenstein ratio was not directly measured:

$$R_{PW} = \frac{\sigma_{NC}^{\nu} - \sigma_{NC}^{\bar{\nu}}}{\sigma_{CC}^{\nu} - \sigma_{CC}^{\bar{\nu}}} \implies R^{\nu} = \frac{\sigma_{NC}^{\nu}}{\sigma_{CC}^{\nu}}, \quad R^{\bar{\nu}} = \frac{\sigma_{NC}^{\bar{\nu}}}{\sigma_{CC}^{\bar{\nu}}}; \quad R_{PW} = \frac{R^{\nu} - r R^{\bar{\nu}}}{1 - r}$$

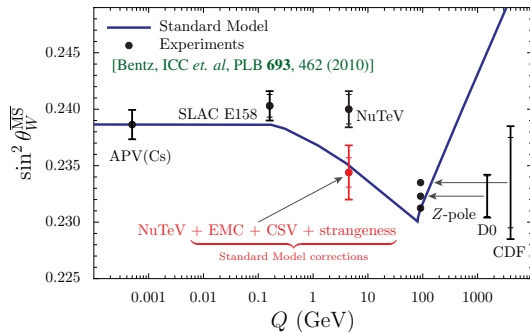


- NuTeV measured:  $R_{\text{NuTeV}}^{\nu} = 0.3916(7)$  &  $R_{\text{NuTeV}}^{\bar{\nu}} = 0.4050(16)$

“ Corrections to  $R^{\nu(\bar{\nu})}$  result from the presence of **heavy quarks in the sea**, the production of heavy quarks in the target, higher order terms in the cross section, and **any isovector component of the light quarks in the target**. In particular, in the case where a final-state charm quark is produced from a *d* or *s* quark in the nucleon, there are large . . .

[G. P. Zeller *et al.*, arXiv:hep-ex/0110059]

- NuTeV then performed a sophisticated Monte-Carlo analysis using constraints from the Paschos-Wolfenstein ratio



- Paschos-Wolfenstein ratio motivated NuTeV study:

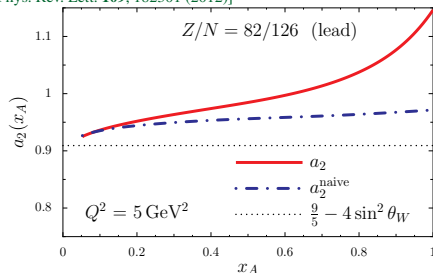
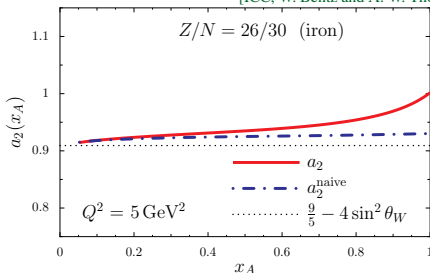
$$R_{PW} = \frac{\sigma_{NC}^{\nu A} - \sigma_{NC}^{\bar{\nu} A}}{\sigma_{CC}^{\nu A} - \sigma_{CC}^{\bar{\nu} A}}$$

$$N \approx Z \frac{1}{2} - \sin^2 \theta_W$$

$$+ \left(1 - \frac{7}{3} \sin^2 \theta_W\right) \frac{\langle x u_A^- - x d_A^- \rangle}{\langle x u_A^- + x d_A^- \rangle}$$

- **NuTeV:**  $\sin^2 \theta_W = 0.2277 \pm 0.0013(\text{stat}) \pm 0.0009(\text{syst})$  [Zeller *et al.* PRL. **88**, 091802 (2002)]
- **Standard Model:**  $\sin^2 \theta_W = 0.2227 \pm 0.0004 \Leftrightarrow 3\sigma \Rightarrow$  “NuTeV anomaly”
- Using NuTeV *functionals*:  $\sin^2 \theta_W = 0.2221 \pm 0.0013(\text{stat}) \pm 0.0020(\text{syst})$
- Corrections from the EMC effect ( $\sim 1.5\sigma$ ) and charge symmetry violation ( $\sim 1.5\sigma$ ) brings NuTeV result into agreement with the Standard Model
- consistent with mean-field expectation – momentum shifted from *u* to *d* quarks

[ICC, W. Bentz and A. W. Thomas, Phys. Rev. Lett. **109**, 182301 (2012)]



● PV DIS –  $\gamma$   $Z$  interference:  $\sum_X \left| \begin{array}{c} e^- \text{ and } e^+ \text{ lines} \\ \text{connected by } \gamma \text{ and } Z^0 \\ \text{to a quark vertex} \end{array} \right|^2$

$$A_{PV} = \frac{d\sigma_R - d\sigma_L}{d\sigma_R + d\sigma_L} \propto a_2(x) = -2g_A^e \frac{F_2^{\gamma Z}}{F_2^{\gamma}} \stackrel{N \approx Z}{=} \frac{9}{5} - 4 \sin^2 \theta_W - \frac{12}{25} \frac{u_A^+(x) - d_A^+(x)}{u_A^+(x) + d_A^+(x)}$$

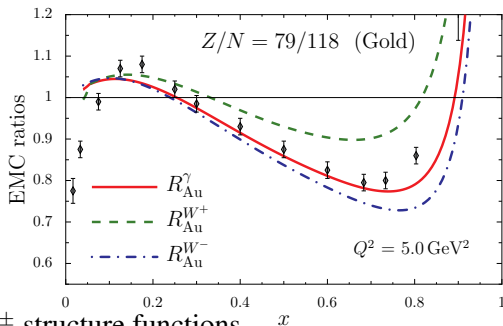
● Deviation from naive expectation: momentum shifted *from  $u$  to  $d$  quarks*

●  $F_2^{\gamma Z}(x)$  has markedly different flavour dependence compared with  $F_2^{\gamma}(x)$

● a measurement of both enables an extraction of  $u(x)$  and  $d(x)$  separately

● Proposal to measure  $a_2$  of  $^{48}\text{Ca}$  was deferred – will likely be approved soon

- The reaction  $e^\mp A \rightarrow \nu(\bar{\nu}) X$  has incredible promise for shedding new light on nucleon and nuclear PDFs
- at EIC neutrino energy can be reconstructed from final state

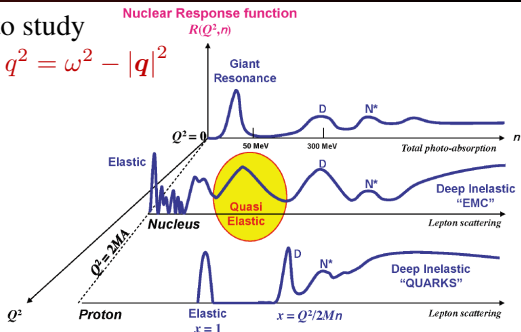
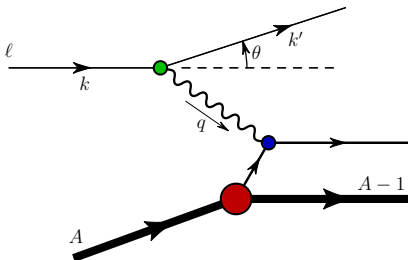


- Parton model expressions for  $W^\pm$  structure functions

$$\begin{aligned}
 F_1^{W^+} &= \bar{u} + d + s + \bar{c} & F_3^{W^+} &= -\bar{u} + d + s - \bar{c} \\
 F_1^{W^-} &= u + \bar{d} + \bar{s} + c & F_3^{W^-} &= u - \bar{d} - \bar{s} + c
 \end{aligned}$$

- Would provide much needed data on flavour structure of both valence and sea quark distribution functions
- Flavour dependence can also be test using e.g. SIDIS,  $\pi^+/\pi^-$  Drell-Yan, PVDIS,  $\nu$ -DIS &  $W$ -production at RHIC

- Quasi-elastic scattering is used to study nucleon properties in a nucleus:  $q^2 = \omega^2 - |\mathbf{q}|^2$

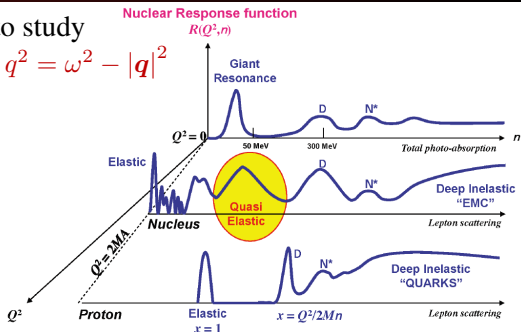
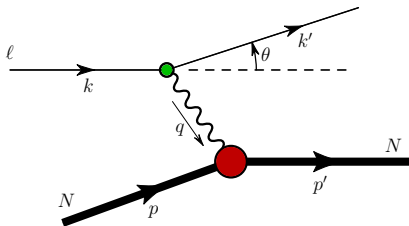


- The cross-section for this process reads

$$\frac{d^2\sigma}{d\Omega d\omega} = \sigma_{\text{Mott}} \left[ \frac{q^4}{|\mathbf{q}|^4} R_L(\omega, |\mathbf{q}|) + \left( \frac{q^2}{2|\mathbf{q}|^2} + \tan^2 \frac{\theta}{2} \right) R_T(\omega, |\mathbf{q}|) \right]$$

- response functions are accessed via Rosenbluth separation
- In the DIS regime –  $Q^2, \omega \rightarrow \infty$   $x = Q^2/(2 M_N \omega) = \text{constant}$  – response functions are proportional to the structure functions  $F_1(x, Q^2)$  and  $F_2(x, Q^2)$

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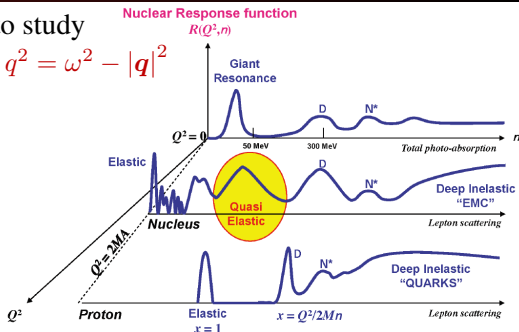
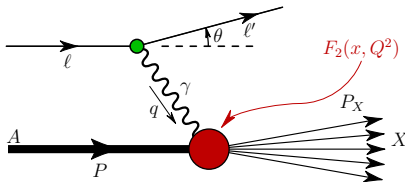


- The cross-section for this process reads

$$\frac{d\sigma}{d\Omega} = \frac{\sigma_{\text{Mott}}}{1 + \tau} [G_E^2(Q^2) + G_M^2(Q^2)]$$

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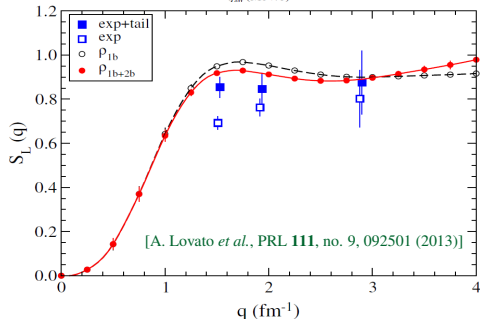
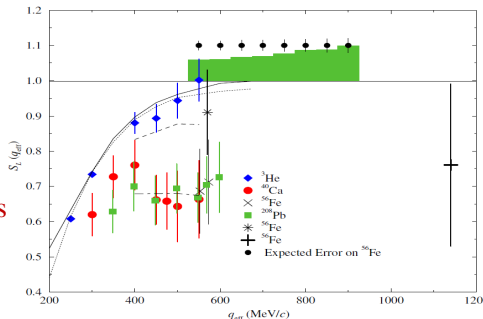
- The cross-section for this process reads

$$\frac{d\sigma}{dx dQ^2} = \frac{2\pi \alpha_e^2}{x Q^4} \left[ \left( 1 + (1+y)^2 \right) F_2(x, Q^2) - y^2 F_L(x, Q^2) \right]$$

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# Coulomb Sum Rule Today

- No new data on the CSR since SLAC data from early 1990s
- The *quenching* of the CSR has become one of the most contentious observations in all of nuclear physics
- Experiment E05-110 was performed at Jefferson Lab in 2005 – should settle controversy of CSR *quenching* once and for all
- publication of results expected soon
- State-of-the-art traditional nuclear physics (GFMC) calculations find no quenching

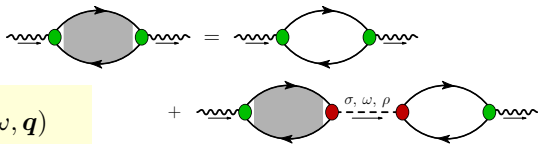


[A. Lovato *et al.*, PRL 111, no. 9, 092501 (2013)]

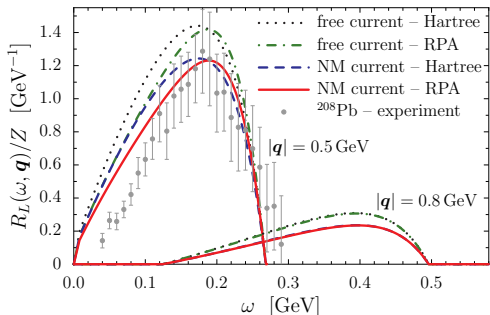


- In nuclear matter response function given by

$$R_L(\omega, \mathbf{q}) = -\frac{2Z}{\pi \rho_B} \text{Im} \Pi_L(\omega, \mathbf{q})$$



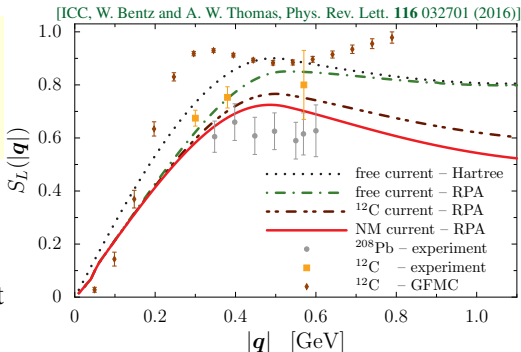
- Longitudinal polarization –  $\Pi_L$  – is obtained by solving a Dyson equation
- We consider two cases: (1) *the electromagnetic current is that of a free nucleon*; (2) *the current is modified by the nuclear medium*
- The *in-medium* nucleon current causes a sizeable quenching of the longitudinal response
  - driver of this effect is modification of the proton Dirac form factor
- Nucleon RPA correlations play almost no role for  $|\mathbf{q}| \gtrsim 0.7 \text{ GeV}$



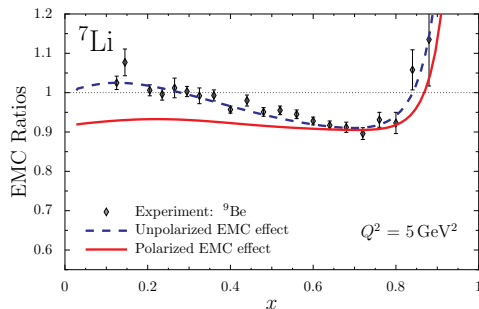
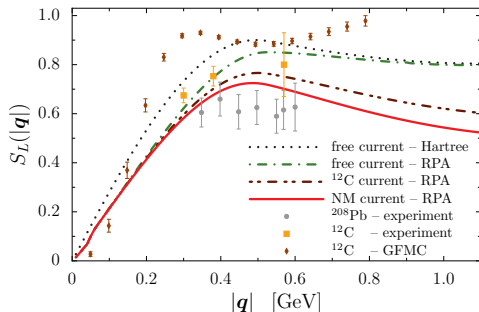
$$S_L(|\mathbf{q}|) = \int_{\omega^+}^{|\mathbf{q}|} d\omega \frac{R_L(\omega, |\mathbf{q}|)}{\tilde{G}_E^2(Q^2)}$$

$$\tilde{G}_E^2 = Z G_{Ep}^2(Q^2) + N G_{En}^2(Q^2)$$

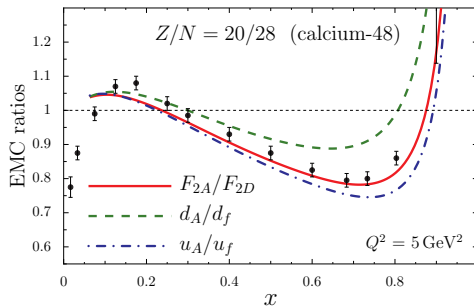
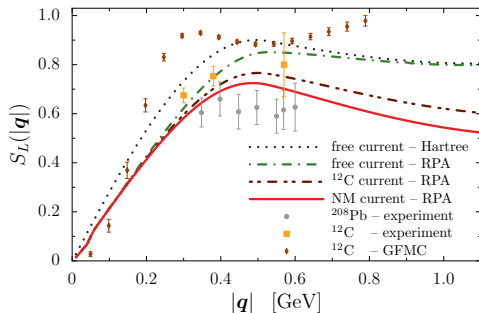
- Recall that the non-relativistic expectation is unity for  $|\mathbf{q}| \gg p_F$
- GFMC  $^{12}\text{C}$  results are consistent with this expectation
- For a *free nucleon current* find relativistic corrections of 20% at  $|\mathbf{q}| \simeq 1 \text{ GeV}$ 
  - in the non-relativistic limit our CSR result does saturate at unity
- An *in-medium nucleon current* induces a further 20% correction to the CSR
  - good agreement with existing  $^{208}\text{Pb}$  data – although this data is contested
- Our  $^{12}\text{C}$  result is in stark contrast to the corresponding GFMC prediction
  - forthcoming Jefferson Lab should break this impasse



- New Jefferson Lab results for the CSR are expected soon
  - confirmation or otherwise of the quenching of the CSR will have a dramatic impact
- Two state-of-the-art calculations predict vastly different results – *for well understood reasons* –
- Understanding the EMC effect is a another critical step towards a QCD based description of nuclei
  - approved JLab experiments will measure the polarized EMC effect in  ${}^7\text{Li}$ ; PVDIS also important!
- Next frontier is GPDs and TMDs of nuclei at JLab12 and an EIC

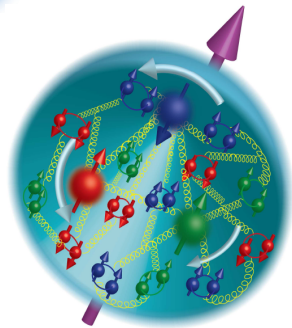
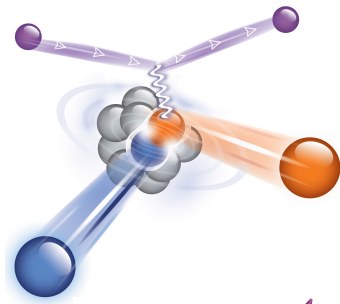


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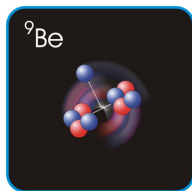
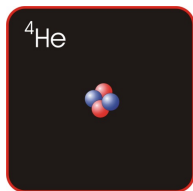
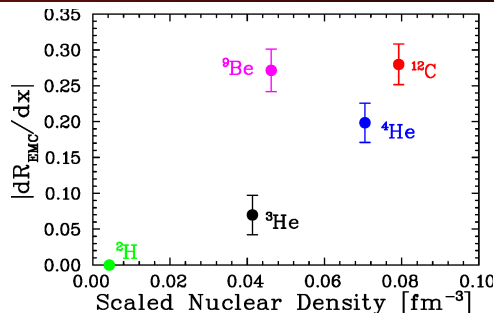


# Backup Slides

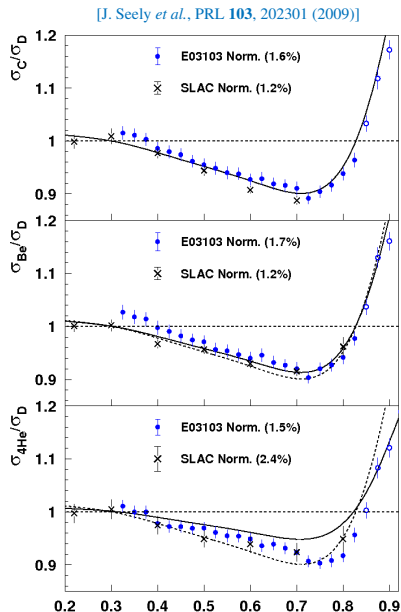
- Traditional explanations include:
  - nuclear binding and Fermi motion
  - pion excess in nuclei
- QCD motivated explanations include:
  - dynamical rescaling
  - multi-quark clusters, e.g. 6, 9, ... quark bags
  - nucleon swelling and suppression of point-like configurations
  - medium modification of bound nucleon wave functions
- Hybrid explanations include:
  - short-range nucleon-nucleon correlations (SRCs)
- After 30 years data has ruled out almost none of these explanations!



# EMC effect in light nuclei



- EMC effect determined by *local density* not the *average density*:  $R_{He} \sim R_{Be} \sim R_C$  [future: E12-10-008]



- Pions are responsible for (*inter alia*) the long range part of  $NN$  interaction

- Natural to expect pions are important for the EMC effect

[Ericson & Thomas (1983); Llewellyn Smith (1983); Berger, Coester & Wiringa (1984)]

- Pions are light –  $m_\pi/M_A \ll M_N/M_A$  – so shift momentum to small  $x$

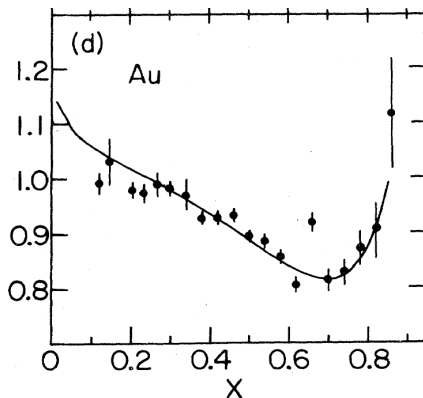
- introduce light cone distribution for pions:

$$f_\pi(y_A); \quad \int dy_A f_\pi(y_A) = n_\pi$$

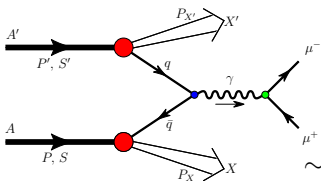
- To explain EMC effect in **Gold**, for example, need:  $n_\pi = 0.114$   
 $\Rightarrow \langle y_A \rangle = 0.061$  per-nucleon

- A consequence of pion excess is a sizeable enhancement in the sea-quark distributions in nuclei

[E. L. Berger & F. Coester, Phys. Rev. D 32, 1071 (1985)]





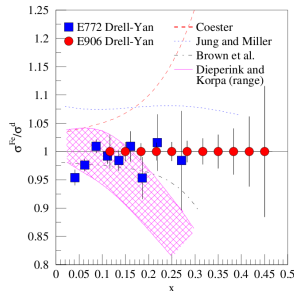
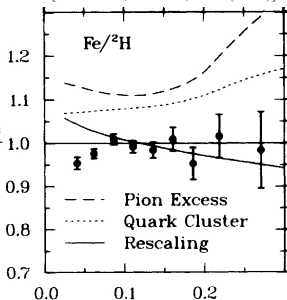


## Proposed in:

Ericson & Thomas, PLB **148**, 191 (1984)

Bickerstaff, Birse & Miller, PRL **53**, 2532 (1984)

[Alde et al., PRL **64**, 2479 (1990)]



- Experiment 772 at Fermilab found no anti-quark enhancement compared to the free nucleon

## PERSPECTIVES

- “Made a persuasive case that virtual pions with momenta greater than about 400 MeV/c are not very important in a nucleus”*

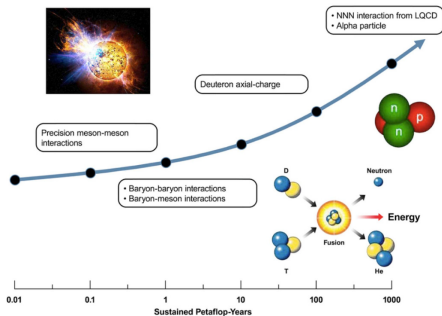
## Where Are the Nuclear Pions?

George F. Bertsch, Leonid Frankfurt,  
Mark Strikman

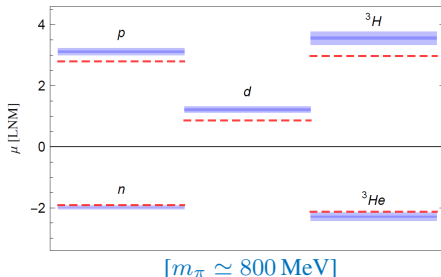
[Science, 1993]

- New Fermilab Drell-Yan experiment 906 currently running

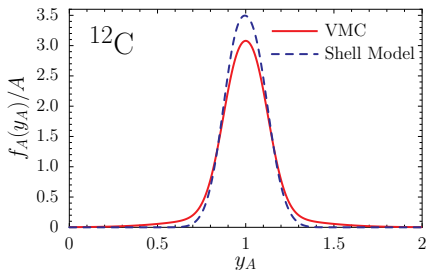
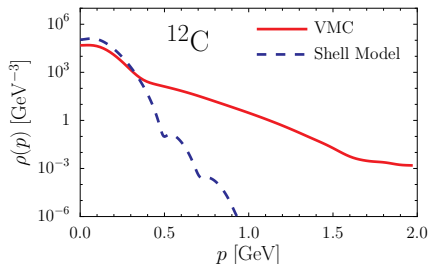
[S. R. Beane *et al.*, Prog. Part. Nucl. Phys. **66**, 1-40 (2011)]



[S. R. Beane *et al.* (NPLQCD), PRL **113**, 252001 (2014)]



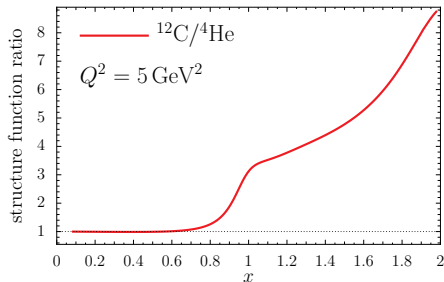
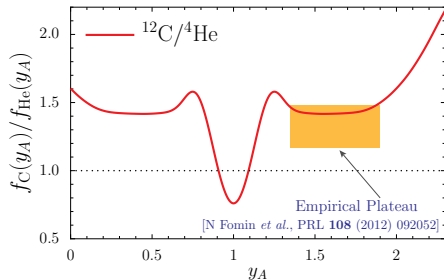
- Lattice QCD is beginning to make progress in the study of very light nuclei
- However calculations require huge computational resources and it will likely take 10-20 years before light nuclei studies match those of the nucleon today
- Lattice QCD can only provide limited physical insight into nuclear structure
  - it cannot tell us what the relevant degrees of freedom are in nuclei



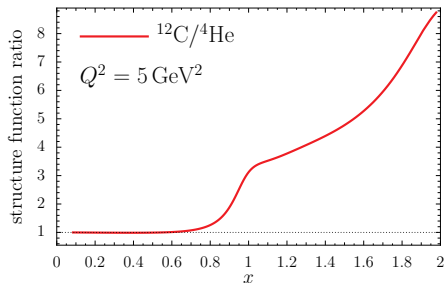
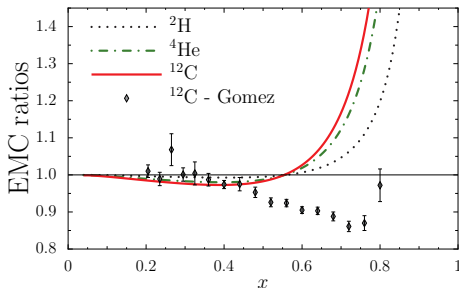
- Modern GFMC or VMC nuclear WFs have large high momentum tails
  - indicates wave function has large SRC component; ~20% for <sup>12</sup>C
- Light cone momentum distribution of nucleons in nucleus is given by

$$f_N(y_A) = \int \frac{d^3\vec{p}}{(2\pi)^3} \delta\left(y_A - \frac{p^+}{P^+}\right) \rho(p)$$

	<sup>2</sup> H	<sup>3</sup> H	<sup>3</sup> He	<sup>4</sup> He	<sup>7</sup> Li	<sup>9</sup> Be	<sup>11</sup> B	<sup>12</sup> C
proton (%)	4.3	5.8	9.0	12.9	12.2	13.5	15.6	19.5
neutron (%)	4.3	9.2	5.7	12.9	10.3	11.8	14.6	19.5



- Ratio of variational Monte Carlo (VMC) light cone wave function exhibits distinct plateau which agrees with experiment
- Using VMC light cone wave functions and convolution model with empirical nucleon PDFs to obtain nuclear structure functions and hence EMC effect
  - plateau still prominent in DIS regime
  - nucleon SRCs alone from VMC wave functions cannot explain EMC effect
- Demonstrates that SRC plateau need not be related to the EMC effect
  - correlation may just be accidental



- Explanations of EMC effect using SRCs also invoke medium modification
  - since about 20% of nucleons are involved in SRCs, need medium modifications about 5 times larger than in mean-field models
- For polarized EMC effect only 2–3% of nucleons are involved in SRCs
  - it would therefore be natural for SRCs to produce a smaller polarized EMC effect
- Observation of a large polarized EMC effect would imply that SRCs are less likely to be the mechanism responsible for the EMC effect